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1 **COMBINING FIELD AND SIMULATION STUDIES TO IMPROVE FERTILIZER**
2 **RECOMMENDATIONS FOR IRRIGATED RICE IN BURKINA FASO**

3

4 **ABSTRACT**

5 Development of improved fertilizer recommendations entirely based on field experiments is
6 time-consuming and costly. We employed a combination of two simulation models and
7 selected field data to develop alternative fertilizer recommendations (AFR) for irrigated rice
8 in Bagré, Burkina Faso. Existing fertilizer recommendations (EFR) are 82 kg N ha⁻¹ (wet
9 season) or 105 kg N ha⁻¹ (dry season), 31 kg ha⁻¹ P and 30 kg K ha⁻¹. The model RIDEV was
10 used to improve timing of sowing date to avoid cold-induced sterility and timing of N
11 fertilizer applications. The model FERRIZ was used to determine AFR, based on estimations
12 of indigenous nutrient supply for N, P and K, yield potential (Y_{pot}), internal N, P, and K
13 efficiency of rice, fertilizer N, P and K recovery fractions and fertilizer and rice prices.
14 Simulations suggested decreasing P and K doses to 21 kg P ha⁻¹ and 20 kg K ha⁻¹ but to
15 increase the N dose to 116 kg N ha⁻¹ in the wet season ($Y_{pot} = 8 \text{ t ha}^{-1}$) and to 139 kg N ha⁻¹ in
16 the dry season ($Y_{pot} = 9 \text{ t ha}^{-1}$). AFR keeps the P-balance neutral, but a negative K balance
17 was tolerated based on the high soil K supply. Compared to existing recommendations, yield
18 gains of up to 0.5 t ha⁻¹ were simulated at equal costs. These yield gains were more than
19 confirmed in farmers' fields during four consecutive growing seasons. AFR increased gross
20 returns above fertilizer costs by an average of about US\$ 160 per season as compared to both
21 farmers' practice and existing recommendations.

22

23 *Keywords:* Burkina Faso, simulation modeling, irrigated rice, nutrient management.

24

25 **Abbreviations**

26 **AFR**: alternative fertilizer recommendations; **DS**, dry season; **EFR**: existing fertilizer
27 recommendations; **FP**: farmers' practice in terms of fertilizer use; **GRF**, gross return above
28 fertilizer cost; **IS**: indigenous soil nutrient supply; **RF**: recovery fraction of applied fertilizer
29 nutrients; **TFC**: total fertilizer cost; **WS**, wet season.

30

31 **INTRODUCTION**

32 Rice is developing as a major staple food item of Burkina Faso. Demand has grown at an
33 annual rate of 3% between 1973 and 1992 compared to an annual population growth rate of
34 2.9%, which can be explained by changing consumer preferences (WARDA, 1996,
35 Randolph, 1997). Currently, in-country production covers about 60% of the demand and 40%
36 are met from imports. While irrigated lowlands comprise only about 20% of the total rice
37 area, this system is characterized by considerable higher yields and contributes about 50% to
38 national rice production (INERA, 2002). Irrigated systems were introduced in the 1960s and
39 the development was accentuated from the 1970s onwards. Average yields of irrigated rice in
40 Burkina Faso were estimated at 4.0 to 4.5 t ha⁻¹ (Illy, 1997; Wopereis et al., 1999) and in
41 general two crops per year are grown. This compares to average yields in the Sahelian and
42 Savannah regions of 3.0 to 5.5 t ha⁻¹. The cropping intensity in Burkina Faso is higher than in
43 most neighbouring countries (Matlon et al., 1996; Miézan and Sié, 1997; Wopereis et al.,
44 2001).

45 Segda et al. (2004) conducted an agro-economic characterization study in one of the
46 most important rice irrigated schemes in eastern Burkina Faso (Bagré irrigation scheme),
47 where development of irrigation is ongoing. The analysis found agronomic constraints similar
48 to the situation in other schemes of the region. Farmers' net benefits to irrigated rice cropping
49 were mostly positive in the dry season (DS) but often low or even negative in the wet season

50 (WS). Yield gaps between average farmers' yield and best farmers' yield were high and
51 indicated considerable scope for yield and profit increases in both seasons. The observed
52 medium to low average yields are far below the level anticipated by authorities and irrigation
53 scheme planners. Limited productivity combined with high input prices cause low profit
54 margins, which subsequently reduce savings for maintenance of infrastructure and machines
55 and the reimbursement of credit. At current productivity levels, the economic viability of the
56 irrigation schemes in the Sahel can be questioned (Bélières *et al.* 1997). Productivity
57 increases are necessary to maintain the economic sustainability of irrigated agriculture in the
58 region.

59 Based on the agro-economic characterization study, Segda *et al.* (2004) concluded that
60 the most promising ways to achieve higher productivity and input use efficiency are: (i) to
61 improve timing and quality of crop management practices, and (ii) to improve existing
62 fertilizer recommendations. Fertilizer recommendations in Burkina Faso have not changed
63 since introduction of irrigated rice and are presently uniform over large areas and cut across
64 diverse climatic and edaphic environments. Especially the widespread use of compound
65 fertilizers, not tailored to the needs of the rice crop, constitutes an obstacle for optimization of
66 nutrient management. Other factors included problems with collective and individual
67 planning of the cropping calendar for double cropping of rice (two rice crops on the same
68 field per year), and the need to also attend to rainfed crops outside the scheme.

69 To improve existing crop and nutrient management recommendations and practices,
70 an integrated approach is vital, taking the farmers' socio-economic as well as bio-physical
71 environment into account. Nutrient management for rice should focus on developing fertilizer
72 recommendations for spatial domains with relatively uniform agro-ecological characteristics,
73 cropping practices, and socio-economic conditions (Dobermann *et al.*, 2002; 2003a and b).
74 To reach that goal with agronomic trials is rather costly and time consuming, and simulation

75 tools are increasingly used as a complement (Smaling, 1993). The present study used a
76 framework for improved soil fertility management presented by Haefele et al. (2003a). This
77 approach combines field data with simulation tools in a flexible framework. It helps the user
78 to diagnose limiting factors as well as to develop soil fertility management strategies as a
79 function of his or her goals, e.g. profit maximisation, yield maximisation or minimising risk,
80 given biophysical and socio-economic settings.

81 This study intended to: (i) estimate climatic risk in Bagré for the two rice growing
82 seasons and for different crop establishment modes and dates, (ii) develop agro-economically
83 sound fertilizer recommendations for a range of target yields using the framework presented
84 by Haefele et al. (2003a); and (iii) evaluate such model-based alternative recommendations in
85 farmers' fields.

86

87 **MATERIAL AND METHODS**

88 **Site description**

89 The Bagré irrigation scheme (11°30'N, 0°25'W) is located in the eastern part of Burkina
90 Faso, on the central plateau. The scheme is situated in the Boulgou province, approximately
91 150 km south-east of the capital Ouagadougou and about 50 km north of the border with
92 Togo. The African basement complex and Precambrian sediments determine the geology of
93 the region, but soils in the irrigation scheme are developed in alluvial sediments of
94 Quaternary age. Frequent primary minerals are biotite and amphibole. Montmorillonite and
95 kaolinite are dominating clay minerals (BUNASOLS, 1994). Soils of the irrigation scheme
96 (600 ha on the left bank of the Nakambe river) were classified according to the FAO soil
97 classification (FAO, 1988) as Gleysols and dystric Fluvisols (62% of total area). Soil depth
98 was on average between 0.4 to 1.2 m.

99 The climate is typical for the agro-ecological zone of the Sudan Savannah with
100 average rainfall of 900 mm yr⁻¹ in the wet season from July to October, followed by a cold
101 dry season in November to February and a hot dry season from March to June. Minimum air
102 temperatures below 15°C occur in the cold dry season and maximum air temperatures above
103 40°C occur in the hot dry season.

104 Irrigation for the Bagré irrigation scheme is gravity driven and is supplied from the
105 Nakambe river (formerly White Volta). Cultivation of the first irrigation scheme started in
106 1997, in which the here presented study was conducted (Nimatoulaye scheme or V1 with a
107 total area of 106 ha). The main crop is irrigated rice, which is cultivated in the wet season
108 (WS; main sowing time from July to August) and the hot dry season (DS; main sowing time
109 from January to February). Almost 100% of the irrigated area is cropped twice a year. Direct
110 seeding and transplanting are both practiced. Existing fertilizer recommendations are 300 kg
111 ha⁻¹ "cotton fertilizer" (N/P₂O₅/K₂O 12/24/12) applied basally or shortly after transplanting
112 and 100 kg ha⁻¹ urea (46/0/0) in the WS or 150 kg ha⁻¹ urea in the DS. Recommended total
113 NPK dose therefore is 82/31/30 kg ha⁻¹ and 105/31/30 kg ha⁻¹ in the WS and DS respectively.
114 Urea is recommended to be top-dressed in two equal splits at early tillering and panicle
115 initiation. Dominating cultivars are FKR19 (TOX 728-1) and FKR14 (4418). Apart from
116 irrigated rice, most farmers grow rainfed maize, millet or sorghum in the surroundings of the
117 scheme during the wet season and some farmers grow vegetables during the dry season. Most
118 farmers also have some livestock (cattle).

119

120 **Simulation tools**

121 Two simulation tools were used to develop alternative fertilizer recommendations (AFR).
122 The rice phenology model RIDEV (Dingkuhn, 1997) assists in the optimal timing of crop
123 management interventions and a modified version of QUEFTS (Janssen et al., 1990) called

124 FERRIZ is used to calculate N, P and K doses for specific target yields. The framework and
125 the simulation tool FERRIZ are described in detail by Haefele et al. (2003a). A short
126 description of the models is given below.

127

128 *RIDEV*

129 The rice phenology model "RICE DEVELOPMENT" (RIDEV) was developed by Dingkuhn
130 (1997). It provides a time axis from germination to maturity depending on daily minimum
131 and maximum temperatures and varietal constants. Furthermore, the percentage of spikelet
132 sterility resulting from extreme temperatures can be estimated. Inputs for the model are daily
133 minimum and maximum air temperature, photo-thermal constants of the cultivar used,
134 sowing date, and establishment method (transplanting or direct seedling). The model was
135 developed and validated for Sahel and Sudan Savannah regions and repeatedly used to
136 analyse and improve farmers' crop management practices (e.g. Wopereis et al., 2003).

137 Actual weather data from the survey site were not available. Historical data (1969-
138 1978) from the closest weather station (Fada N'Gourma, 12°05' N, 0°26' E; located at about
139 75 km from Bagré) were used for the simulations. Photo-thermal constants were chosen from
140 a cultivar similar to the one mainly used by farmers (i.e. short duration cultivar IR13240-108-
141 2-2-3 which resembles farmers' cultivar TOX 728-1).

142

143 *FERRIZ*

144 The model FERRIZ (Haefele et al., 2003a) is a modified version of the empiric and static
145 model QUEFTS (Janssen et al., 1990). It can be used to estimate rice yields based on
146 indigenous soil nutrient supply (IS) and potential yield (FERRIZ_Y), or to calculate N, P and
147 K doses for specific target yields depending on IS and potential yield (FERRIZ_F).

148 Potential yield can be estimated using simulation models, like the ORYZAS model
149 (Dingkuhn and Sow, 1997) as proposed by Haefele et al. (2003a), or estimated from best-
150 farmer yields or from yields obtained at research stations under high-input conditions and
151 optimal crop management. In our case, the ORYZAS model could not be used due to missing
152 weather data on solar radiation. Dingkuhn and Sow (1997) observed a relationship between
153 simulated potential grain yield (Y_{pot} at 14% moisture) and geographical latitude, separately
154 for the dry and wet seasons. Following that relationship, we estimated that average Y_{pot} for
155 sowing in February (DS) is about 9 t ha^{-1} , and average Y_{pot} for sowing in July (WS) is about 8
156 t ha^{-1} .

157 Parameters for maximum dilution and maximum accumulation of rice N, P and K
158 uptake were included according to Witt et al. (1999) and Haefele et al. (2003b). Using data
159 from farmers' surveys conducted under a wide range of environmental and crop management
160 conditions, both studies defined borderlines of maximum dilution (d) and accumulation (a) of
161 nutrients in modern rice cultivars as follows: $aN = 42 \text{ kg paddy kg}^{-1} \text{ N uptake}$ and $dN = 96 \text{ kg}$
162 $\text{paddy kg}^{-1} \text{ N uptake}$; $aP = 206 \text{ kg paddy kg}^{-1} \text{ P uptake}$ and $dP = 622 \text{ kg paddy kg}^{-1} \text{ P uptake}$;
163 $aK = 36 \text{ kg paddy kg}^{-1} \text{ K uptake}$ and $dK = 115 \text{ kg paddy kg}^{-1} \text{ K uptake}$.

164 Recovery fractions (RF) are defined as the amount of fertilizer nutrient taken up by
165 the crop, divided by the amount of fertilizer applied. In all FERRIZ_F simulations for the
166 calculation of fertilizer doses, RFN was set to 0.45 kg kg^{-1} , RFP was set to 0.25 kg kg^{-1} and
167 RFK was set at 0.45 kg kg^{-1} . These values represent average values observed in various field
168 surveys (e.g. Witt and Dobermann, 2001; Haefele, 2001).

169 Indigenous soil N, P and K supplies and responses to N, P and K fertilizer application
170 were determined using a fertilizer trial conducted in a farmer's field in the Nimatoulaye
171 irrigation scheme during the 1999 dry season (DS, February sowing) and the 1999 wet season
172 (WS, July sowing). Experimental treatments were chosen to provide optimal concentrations

173 of two elements, whereas the remaining element was increased stepwise (Table 1). A
174 randomized complete block design with four replications and thirteen different treatments
175 was installed. Each sub-plot had a size of 6 m x 4 m. The short duration cultivar FKR14
176 (4418) was used and transplanted at a density of 25 hills m². Fertilizer treatments were
177 applied to the same plots in both seasons in a continuous rice-double cropping system.
178 Nitrogen was applied as urea (46% N), P as triple-super phosphate (20% P), and K as KCl
179 (50% K). P and K were broadcast with the first N-application (14 days after transplanting).
180 The remaining two nitrogen splits were applied at panicle initiation and heading with doses
181 according to Table 1. Grain yield was determined from a 6m² area in the center of each
182 subplot. Grain moisture content at harvest was determined with the Kett Grain moisture
183 Tester Riceter J. P. Results from this trial were used to estimate nutrient (N, P and K) uptake
184 in the subplots without N (0N subplot), without P (0P subplot) and without K (0K subplot)
185 from dry season grain yield at 3% moisture, using conversion factors determined by
186 Dobermann et al. (2003a and b). The conversion factor for N is 13.4 kg N per 1000 kg grain
187 yield, the conversion factor for P is 2.9 kg P per 1000 kg grain yield and the conversion factor
188 for K is 15.8 kg K per 1000 kg grain yield. Such estimates have a precision of about ± 5 10
189 kg N ha⁻¹, ± 2 -3 kg P ha⁻¹, ± 10 -20 kg K ha⁻¹ (Dobermann et al., 2003a and b). The N uptake
190 thus calculated in the 0N omission plot was considered a proxy for the indigenous soil supply
191 of N, the P uptake calculated for the 0P plot was considered a proxy for the indigenous soil
192 supply of P, and the K uptake calculated for the 0K plot was considered a proxy for the
193 indigenous soil supply of K.

194

195 **Developing site-specific nutrient management recommendations**

196 RIDEV simulations of crop duration and sterility were conducted for the cultivar Sahel 108
197 (IR 13240-108-2-2-3), which is similar to short duration cultivar FKR 19 (TOX 728-1).

198 Simulations were conducted at 7-days intervals over a period of 10 years. The simulations
199 used the dominant crop establishment techniques in each season, which is direct seeding in
200 the dry season and transplanting in the wet season (Segda et al., 2004). Best timing of crop
201 management interventions as a function of sowing date and risks of yield loss due to
202 temperature extremes (low temperatures in WS and high temperatures in DS) were
203 determined.

204 FERRIZ_F was used to calculate fertilizer doses for target yields ranging from 6.0 to
205 8.0 t ha⁻¹ in 0.5 t ha⁻¹ intervals in the dry season ($Y_{pot} = 9.0 \text{ t ha}^{-1}$) and from 5.0 to 7.0 t ha⁻¹ in
206 the wet season ($Y_{pot} = 8.0 \text{ t ha}^{-1}$). Total N, P and K uptake at harvest were estimated as well.
207 Fertilizer costs were based on average prices for the 2003 and 2004 wet and dry seasons of
208 the most commonly applied fertilizers in the Bagré plain, i.e. urea (247 FCFA kg⁻¹,
209 containing 46% N) and "cotton fertilizer" (257 FCFA kg⁻¹, containing 12% N, 10.5% P and
210 10% K). The paddy price depends on the milling recovery rate, and the producers of Bagré
211 achieved an average price of 101 FCFA per kg paddy over the four growing seasons in 2003
212 and 2004. The average exchange rate of the West African currency FCFA to the US dollar in
213 the period 1 January 2003 – 31 December 2004 was US\$ 1.00 = 554 FCFA.

214 The outcome of the FERRIZ_F simulations allowed the development of alternative
215 fertilizer recommendations (AFR). FERRIZ_Y was then used to estimate yields and yield
216 differences that can be expected from existing fertilizer recommendations (EFR) and from the
217 alternative fertilizer recommendations (AFR) in both wet and dry seasons.

218

219 **Validation trials**

220 Three fertilizer treatments were tested with 7 farmers in the 2003 DS (February sowing), 14
 221 farmers in the 2003 WS (July sowing), 17 farmers in the 2004DS (February sowing) and 12
 222 farmers in the 2004WS (July sowing):

- 223 (i) farmers' practice in terms of fertilizer use (FP);
- 224 (ii) EFR, with urea applied in two splits; i.e. 35% at 15 days after transplanting and
 225 65% at panicle initiation):
- 226 ➤ in the dry season: 105 kg N, 31 kg P, 30 kg K ha⁻¹ as 300 kg 'cotton fertilizer'
 227 (N/P₂O₅/K₂O 12/24/12) and 150 kg urea ha⁻¹
- 228 ➤ in the wet season: 82 kg N, 31 kg P, 30 kg K ha⁻¹ as 300 kg 'cotton fertilizer'
 229 and 100 kg urea ha⁻¹
- 230 (iii) AFR, with urea applied in three splits: 3/8 at early tillering; 3/8 at panicle
 231 initiation and 2/8 at booting; timing of fertilizer application guided by RIDEV.
 232 Although AFR were developed for both WS and DS, farmers only adopted the
 233 'low' AFR developed for the WS, i.e.: 116 kg N, 21 kg P and 20 kg K, as 200 kg
 234 'cotton fertilizer' and 200 kg urea ha⁻¹

235

236 Farmers typically used short duration rice cultivar TOX 728-1, or similar short-stature high
 237 yielding cultivars. In each rice field (typically about 1ha in size), three subplots of 10 m x 10
 238 m were installed to evaluate AFR, EFR and FP. For AFR and EFR, all management practices
 239 were left to the farmer, except fertilizer applications. For FP, all management practices,
 240 including fertilization were left to the farmer. At maturity, rice yields were obtained from a
 241 6m² surface area in the center of each subplot and yields were corrected for 14% moisture.
 242 Profit margins from fertilizer use were determined for each treatment using prices for each
 243 season. Paddy rice price was 102 FCFA kg⁻¹ during the 2003 DS and 2003 WS; 87 FCFA

244 kg⁻¹ during the 2004 DS and 111 FCFA kg⁻¹ during the 2004 WS. Urea price was 237 FCFA
 245 kg⁻¹ during the 2003 DS; 230 FCFA kg⁻¹ during the 2003 WS and 260 FCFA kg⁻¹ in 2004.
 246 The complex cotton fertilizer price was 237 FCFA kg⁻¹ during the 2003 DS, 250 FCFA kg⁻¹
 247 during the 2003 WS and 270 FCFA kg⁻¹ in 2004.

248 Financial calculations were made on a per crop basis following Dobermann et al.
 249 (2002) using FCFA as standard currency:

$$250 \quad TFC = P_N F_N + P_P F_P + P_K F_K$$

$$251 \quad GRF = P_R Y_R - TFC$$

252 where TFC is the total fertilizer cost (FCFA ha⁻¹), GRF the gross return above fertilizer cost
 253 (FCFA ha⁻¹), Y_R the rice yield (kg ha⁻¹), P_R the price for rice (FCFA kg⁻¹ paddy), P_N the price
 254 of N fertilizer (FCFA kg⁻¹ N), P_P the price of P fertilizer (FCFA kg⁻¹ P), and P_K the price of K
 255 fertilizer (FCFA kg⁻¹ K), F_N the quantity of N fertilizer applied (kg N ha⁻¹), F_P the quantity of
 256 P fertilizer applied (kg P ha⁻¹) and F_K the quantity of K fertilizer applied (kg K ha⁻¹).

257 The incremental profitability of AFR (dGRF) was determined as the difference in
 258 gross returns above fertilizer costs between AFR and FP and between AFR and EFR:

$$259 \quad dGRF = GRF_{AFR} - GR_{FP} \quad \text{or}$$

$$260 \quad dGRF = GRF_{AFR} - GR_{EFR}$$

261

262 **RESULTS**

263 **Fertilizer response curves and indigenous soil nutrient supply**

264 In the 1999 DS, observed mean grain yields in the N, P and K omission plots were 2.6 t ha⁻¹
 265 (0-N), 6.1 t ha⁻¹ (0-P) and 6.3 t ha⁻¹ (0-K). The same treatments yielded 2.5 t ha⁻¹ (0-N), 3.2 t
 266 ha⁻¹ (0-P) and 4.0 t ha⁻¹ (0-K) in the 1999 WS. This indicated that mainly N and P were
 267 limiting yields and that indigenous K supply was considerable. The same conclusion can be
 268 drawn from the N, P and K response curves obtained with the same trial (Figure 1). Highest

269 grain yields were achieved with 120 kg N ha⁻¹ (both seasons), with 39 kg P ha⁻¹ in the dry
270 season and with 25 kg P ha⁻¹ in the wet season. In both seasons, K application did not cause a
271 significant yield increase. The almost linear response to P in the DS and the high yield level
272 achieved suggests that the fixed P-dose chosen for the N-response curve was too low at high
273 N application rates. Highest grain yield achieved in the experiment were 7.5 t ha⁻¹ in the DS
274 and 4.7 t ha⁻¹ in the WS.

275 IS was estimated based on the N, P and K omission plots and empirical factors for N,
276 P and K (Dobermann et al., 2003b). Using these factors (13.4 / 2.9 / 15.8 kg N / P / K per ton
277 grain yield) and DS yields, the experimental field had an INS of 35 kg N ha⁻¹, an IPS of 18 kg
278 P ha⁻¹ and an IKS of 100 kg K ha⁻¹. Segda et al. (2004) estimated average N uptake in
279 unfertilized plots in farmers' fields during the 2000 wet season at 37 kg N ha⁻¹, which is very
280 close to the estimated INS in this trial.

281

282 **RIDEV simulations**

283 Table 2 shows optimal practices according to RIDEV for rice cultivar TOX 728-1. Mean
284 values for simulations conducted at 7-days intervals over a period of 10 years are presented.
285 The simulations address the dominant crop establishment techniques in each season, which is
286 direct seeding in the dry season and transplanting in the wet season (Segda et al., 2004). The
287 table shows best timing of crop management interventions as a function of sowing date (or
288 transplanting) and indicates the risk of temperature induced yield losses. Transplanting after
289 August 3 must be avoided. Assuming a preparation phase of about one month for the wet
290 season and a dry season crop duration of 120 days, the dry season crop should be established
291 by mid February latest to avoid dangerous delays of the onset of the WS. The wet season crop
292 could then be harvested beginning of December.

293

294 **Alternative fertilizer recommendations**

295 To derive alternative fertilizer recommendations (AFR), FERRIZ_F simulations were
296 conducted based on the indigenous supply of 35 kg N ha⁻¹, 18 kg P ha⁻¹ and 100 kg K ha⁻¹,
297 obtained from the nutrient-omission trial; fertilizer recovery rates of 0.45 kg kg⁻¹ for N, 0.25
298 kg kg⁻¹ for P, 0.45 kg kg⁻¹ for K, and Y_{pot} of 8.0 and 9.0 t ha⁻¹. Urea (46 % N) and "cotton"
299 fertilizer (12% N, 10.5% P and 10% K) were used in the simulations (Tables 3 and 4), as
300 these fertilizers are commonly used by farmers in the region.

301 Maximum target yields were set to 80% of potential yield as beyond that level,
302 internal efficiencies of nutrients in the rice plant decline (Witt et al., 1999). About 80% of
303 potential yield seems also to represent a ceiling for what can be achieved by best farmers
304 under field conditions (Dobermann et al., 2002).

305 For the same target yield, higher fertilizer doses have to be applied when Y_{pot} is lower
306 (Table 3). For high target yields, N, P and K have to be applied, whereas only N application
307 is needed for low target yields. For optimal profit (marginal rate of return = 0), up to 135,000
308 FCFA must be invested in fertilizer in the dry season, which is above the investment made by
309 most farmers. According to the field survey, farmers in Bagré spend on average 95,000
310 FCFA (maximum 125,000 FCFA) for total fertilizer costs (Segda et al., 2004). The
311 comparison of applied N, P and K and aboveground plant uptake at the target yield indicates
312 negative P and K balances for all simulation scenarios, when complete grain and straw
313 removal is assumed (Table 3).

314 The outcome of the FERRIZ_F simulations illustrates the importance of N fertilizer as
315 compared to P and K in Bagré. AFR were then derived based on estimated yield, NPK
316 balance, costs and simplicity. We decided to reduce the NPK fertilizer dose as compared to
317 existing fertilizer recommendations by 100 kg ha⁻¹ and to increase the urea dose by 100 kg
318 ha⁻¹. This does not entail increased costs but gives extra weight to N as compared to P and K.

319 AFR for the wet season were, therefore, defined as: 116 kg N ha⁻¹, 21 kg P ha⁻¹, and
320 20 kg K ha⁻¹ and for the dry season: 139 kg N ha⁻¹, 21 kg P ha⁻¹, and 20 kg K ha⁻¹.

321 In order to compare the performance of the alternative recommendations versus the
322 existing fertilizer recommendations (EFR), FERRIZ_Y was used to simulate yield and plant
323 uptake with the same input data as above. Simulation results are given in Table 4. Existing
324 fertilizer recommendations differ for the dry and wet season (105 kg ha⁻¹ N, 31 kg ha⁻¹ P, and
325 30 kg ha⁻¹ K in the DS; 82 kg ha⁻¹ N, 31 kg ha⁻¹ P, and 30 kg ha⁻¹ K in the WS), assuming a
326 lower yield potential in the WS, but reduces only the N dose. Simulated yields with the
327 higher dose were about 6.5 t ha⁻¹, whereas the lower dose resulted in yield estimates of about
328 6.0 t ha⁻¹. Nitrogen and P uptake were always below the applied amount, whereas K uptake
329 was more than three times higher.

330 AFR increased estimated yields by 0.4 to 0.5 t ha⁻¹ as compared to EFR. The balance
331 between nutrients applied and plant uptake became more positive for N, balanced for P and
332 even more negative for K. Simulations were also conducted for DS growing conditions, using
333 the AFR developed for the WS as farmers were reluctant to accept the 'high' AFR doses,
334 they preferred to use AFR developed for the WS for both seasons (see below). In this case
335 AFR yields were comparable to EFR yields, but at substantially lower costs.

336

337 **Validation experiments**

338 The performance of existing and alternative fertilizer recommendations and farmers' practice
339 were compared during the dry and wet seasons of 2003 and 2004 (Table 5). Farmers decided
340 to test only the AFR developed for the WS in both seasons; AFR developed for the DS was
341 considered not within financial reach of most farmers. The amount of fertilizer applied by the
342 farmers themselves was indeed considerably lower than AFR and EFR rates. Farmers applied
343 about 80 kg N ha⁻¹, 16 kg P ha⁻¹ and 16 kg K ha⁻¹, but there was a large variability among

344 farmers in terms of timing and dosage used (details not shown). Total fertilizer cost (TFC)
345 was about 30,000 CFA ha⁻¹ higher for AFR as compared to FP. TFC for AFR was
346 substantially lower than EFR in the DS and about the same in the wet season. Gross returns
347 above fertilizer cost (GRF) were most interesting for AFR, with differences between AFR
348 and EFR ranging from 54,600 to 111,700 CFA per season, and between AFR and FP ranging
349 from 34,400 to 147,300 CFA per season. Over the four seasons, EFR increased gross returns
350 above fertilizer costs by an average of about FCFA 90,000 or about US\$ 160 per season as
351 compared to both farmers' practice and actual recommendations.

352

353 **DISCUSSION**

354 Fertilizer trials constitute an important tool to determine fertilizer doses, which help farmers
355 to optimize the agro-economic returns to mineral fertilizer use and to maintain the natural
356 resource base. In order to give sound advice, they should be conducted at several sites within
357 a homogenous region and over several seasons to capture the effect of spatial soil variability
358 and of temporal weather variability. Due to the African conditions of often limited financial
359 means, both conditions can rarely be fulfilled. Further constraints are that most fertilizer trials
360 in the region are conducted on-station where continuous high doses cause considerable
361 residual effects not typical for farmers' fields. The approach used in this study allows using
362 different data sources and employs simulation tools to circumvent some of these
363 shortcomings.

364 The fertilizer trial used in this study was conducted in a farmer's field, thereby
365 reflecting the influence of farmer crop management practices on soil fertility. Significant
366 fertilizer responses were only observed for N and P (Figure 1). Clear yield increases due to N
367 and P application and no or little effects of K application were observed repeatedly in several
368 irrigation schemes in the West African Sahel and Savanna regions (Wopereis et al., 1999;

369 Haefele, 2001, Haefele et al., 2003a). Buri et al. (1999) reported high soil K levels for most
370 flood plains in the same region. Considerable soil K reserves and little effect of farmer's
371 fertilizer management (largely without K application) on extractable soil K in a Sahelian
372 flood plain were shown by Haefele (2001). Highest yields in the trial were achieved with 120
373 kg N ha⁻¹ and 39 kg P ha⁻¹ in the DS and 120 kg N ha⁻¹ and 26 kg P ha⁻¹ in the WS.

374 Based on the NPK omission plots in the trial and empirical factors for NPK uptake in
375 such plots (Dobermann et al., 2003b), IS for NPK was estimated. Indigenous N supply
376 estimated for the trial site was very close to the average INS measured in the 1999-2000
377 survey for farmers' fields (Segda et al., 2004). No survey measurements for IPS and IKS
378 were available.

379 Estimates of IS for N, P and K and other input parameters (potential yield, fertilizer
380 recovery fractions and input prices) allowed to calculate economically optimal yields and
381 determine fertilizer doses for specific target yields with FERRIZ_F (Table 3). The dominant
382 N limitation is showing clearly in the simulations, but they also indicate that at current IS
383 levels no P or K application would be necessary up to target yields of 6.5 t ha⁻¹. Such a
384 strategy would be highly beneficial in the short term (low costs and high target yield), but
385 comparison of nutrient input and plant uptake shows that this would result in negative P and
386 K balances.

387 Knowing the low soil P reserves and their rapid depletion in West African flood
388 plains (Buri et al., 1999; Haefele et al., 2004), a balance between P application and plant
389 uptake was targeted for the site-specific nutrient management recommendations (Table 3). In
390 comparison to existing recommendations, this can be achieved with lower compound
391 fertilizer doses, allowing an increased N dose at identical cost levels.

392 A relative increase of N was repeatedly recommended and successfully tested in West
393 African irrigated rice-based systems (Wopereis et al., 1999; Donovan et al., 1999, Haefele et

394 al., 2000, 2002), and the simulations show that this strategy increases profits over the existing
395 recommendation especially at higher Y_{pot} . The simulations also show that mainly N needs to
396 be adjusted to Y_{pot} , whereas P and K doses can be maintained stable. This opens the way to
397 real-time N management strategies. Adjustment of N doses to rice crop appearance (i.e. leaf
398 color chart) was successfully tested in Asia (Balasubramaniam et al., 1999) and has high
399 potential in African environments too. Even though the compound fertilizer dose was
400 reduced, the P dose is still high and close to the dose achieving optimal responses in the
401 fertilizer trial (Figure 1).

402 As average farmers' yield increases from the current level of about 3.6 (WS) to 4.9 t
403 ha^{-1} (DS) to the simulated yield of 6.5 to 6.9 t ha^{-1} , actual P balances will still remain positive.
404 The K balance based on K input and plant K uptake became more negative as compared to
405 the existing recommendation, but it assumes complete straw removal, no other K inputs and
406 average yields close to 6.5 to 6.9 t ha^{-1} . Considerable K inputs can be expected from dust
407 deposition (Hermann, 1996), and remaining stubbles as well as straw application (in the form
408 of compost or manure) can reduce the negative balance considerably. Together with the high
409 soil K reserves discussed above and the current absence of K response, a strategy of slow soil
410 K mining seems more adequate than a soil K maintenance strategy. Saving the investment for
411 soil K maintenance in the near future might help African rice farmers to compete better with
412 cheap imports. Higher K doses would become adequate when K responses can be observed
413 and when farmers reach a higher productivity level.

414 The simulated yield and profitability gains were more than confirmed in farmers'
415 fields during four consecutive growing seasons. In all seasons, AFR yields were considerably
416 higher than EFR or FP yields, and yield gains were significant ($p < 0.05$) in 2004 (Table 5).
417 Yield gains from AFR were larger than simulated, probably because for AFR, fertilizer N
418 was applied in three splits, ensuring better balanced crop nutrition as compared to farmer

419 practice and EFR where only two N splits were used. Similar results were obtained by
420 Wopereis-Pura et al. (2002). Monitoring INS, IPS and IKS every five to ten years with
421 omission plots in farmers fields as proposed by Dobermann et al. (2003a) and the here
422 presented framework may serve to readjust AFR in the future.

423 Segda et al. (2004) found low economic returns to investment in fertilizer, particularly
424 in the wet season (Segda et al., 2004). It was concluded that sub-optimal timing of crop
425 management practices and sub-optimal fertilizer management were the most important single
426 factors contributing to the observed low efficiency. This is especially true for the WS sowing
427 date as can be seen from Table 2. Relatively small delays in crop establishment can cause
428 significant yield losses. Sowing after the first week in August increased the risk of cold
429 sterility tremendously. The RIDEV simulation results were confirmed by the low yields of
430 farmers with late sowing in the WS (Segda et al., 2004), and cold sterility due to late sowing
431 date might be the main reason for low economic returns of many farmers in the WS. This
432 confirms results reported by Nebié (1995), who set August 15 as a threshold date for
433 transplanting. Without knowledge of these processes, it is extremely difficult for farmers to
434 relate the occurrence of low minimum temperatures around panicle initiation with spikelet
435 sterility. With a tight cropping calendar for rice double cropping and additional activities in
436 surrounding rainfed fields, delays in establishment are easy to understand. Since such delays
437 can have tremendous effects, farmers must understand the consequences of a late start in the
438 WS in order to react adequately. The frequent occurrence of cold damage in the simulations
439 over ten years indicate that in the case of a delay, not to grow rice seems the better
440 alternative. Spikelet sterility due to high daily maximum temperatures in the DS as reported
441 from irrigated schemes further north (e.g. the Office du Niger in Mali; Dingkuhn and Sow,
442 1997) does not seem to be an important problem in the region. Nevertheless, farmers should
443 establish the DS crop in January or until mid February latest since delayed start of the DS is

444 in most cases directly related to a late start of the WS. RIDEV derived recommendations on
445 optimal timing of crop management practices during the season (Table 2) can further
446 contribute to higher fertilizer efficiency and should be part of integrated crop management
447 options. Such approaches were successful in similar rice-based systems in West Africa
448 (Kebbeh and Miézan, 2003).

449

450 **CONCLUSIONS**

451 Surveys conducted in irrigated rice-based systems in West Africa have demonstrated that
452 considerable potential exists to improve rice productivity in these systems through improved
453 crop management, with improved soil fertility management as a key factor. Low productivity
454 not only threatens the economic survival of individual farmers, but also puts at risk the
455 sustainability of entire irrigation schemes. However the need to work with farmers on
456 alternatives to current, often out-dated, fertilizer recommendations collides with African
457 reality, where limited financial means do not allow large scale research activities. The
458 presented case study used a framework of simulation models and available field data to
459 develop improved nutrient and crop management recommendations for increased agro-
460 economic productivity at current input levels. This approach is, in principle, relatively cheap
461 but requires skills that are unfortunately still relatively rare in West Africa.

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592

593 **CAPTIONS OF FIGURES**

594 **Figure 1** : Grain yields in the nutrient omission trial at Bagré during the dry and wet seasons
595 1999. In **(a)** N doses applied varied, in **(b)** P doses and in **(c)** K doses. Fixed mineral fertilizer
596 doses were 120 kg N ha⁻¹ in (b) and (c), 13 kg P ha⁻¹ in (a) and (c) and 25 kg K ha⁻¹ in (a) and
597 (b). Fertilizer response curves based on quadratic functions (a and b) or linear function (c) are
598 included for data sets with significant yield response to fertilizer application.

599

600 **Table 1.** Fertilizer treatments and nitrogen splits at early tillering (ET), panicle initiation (PI)
 601 and booting (B) in the fertilizer trial in farmers' field during the dry season 1999 and the wet
 602 season 1999 in Bagré, Burkina Faso.
 603

Treatment	Total N dose (kg ha ⁻¹)	N applied at ET (kg ha ⁻¹)	N applied at PI (kg ha ⁻¹)	N applied at B (kg ha ⁻¹)	Total P dose (kg ha ⁻¹)	Total K dose (kg ha ⁻¹)
T1	0	0	0	0	13.1	24.9
T2	60	25	25	10	13.1	24.9
T3	90	40	40	10	13.1	24.9
T4	120	40	40	40	13.1	24.9
T5	150	50	50	50	13.1	24.9
T6	120	40	40	40	0	24.9
T7	120	40	40	40	6.5	24.9
T8	120	40	40	40	13.1	24.9
T9	120	40	40	40	26.2	24.9
T10	120	40	40	40	39.2	24.9
T11	120	40	40	40	13.1	0
T12	120	40	40	40	13.1	24.9
T13	120	40	40	40	13.1	49.8

604

605

606

607 **Table 2.** RIDEV estimated cropping calendars using 7 days intervals for direct-seeded (dry season) or transplanted (wet season) rice, cultivar
 608 TOX 728-1, Bagré (Burkina Faso). DAS = days after sowing.
 609

Sowing date	Transplanting date	First weeding (DAS)	First urea split (DAS)	Second urea split (DAS)	Third urea split (DAS)	Flowering Date (DAS)	Date of last drainage (DAS)	Harvest date (DAS)	Spikelet sterility (%)	Risk of sterility > 20% (No. of years/10 years)
Direct seeding										
01 Jan		29	32	58	78	88	103	117	2.7	0
08 Jan		29	32	56	76	87	101	115	3.7	0
15 Jan		28	31	54	74	85	99	113	6.8	0
22 Jan		25	28	53	73	83	98	112	7.8	0
29 Jan		25	28	52	72	82	97	111	8.5	0
05 Feb		22	25	50	70	81	95	110	6.2	0
12 Feb		21	24	50	70	80	95	109	2.3	0
19 Feb		20	23	49	69	79	94	109	0.6	0
<i>Transplanting*</i>										
25 June	20 July	39	42	73	93	97	118	133	0.9	0
02 July	27 July	39	42	72	92	97	117	134	6.1	1
09 July	03 August	39	42	72	92	97	117	134	22.5	4
16 July	10 August	39	42	71	91	97	116	136	37.7	6
23 July	17 August	36	39	67	87	98	112	137	48.8	10
30 July	24 August	39	42	70	90	101	115	140	71.7	10
06 Aug.	31 August	39	42	71	91	104	116	143	72.9	10
13 Aug.	07 September	39	42	72	92	108	117	146	84.4	10

611 * Transplanting is recommended 25 days after sowing.

612 **Table 3.** Simulated N, P and K requirements to reach specific target yields in the Bagré plain, depending on potential yield (Y_{pot}). Included are
 613 yield levels (Y_{pot}). All data are based on simulations with FERRIZ_F using indigenous supply of 35 kg N ha⁻¹, 18 kg P ha⁻¹ and 100 kg K ha⁻¹
 614 and fertilizer recovery rates of 0.45 kg kg⁻¹ for N, 0.25 kg kg⁻¹ for P, and 0.45 kg kg⁻¹ for K. Average fertilizer prices are used for 2003-2004.
 615

Y_{pot} (t ha ⁻¹)	Target yield (t ha ⁻¹)	Urea dose (kg ha ⁻¹)	NPK dose (kg ha ⁻¹)	NPK applied (kg ha ⁻¹)			Nutrient uptake at target yield (kg ha ⁻¹)			Fertilizer costs (1000 FCFA ha ⁻¹)	
				N	P	K	N	P	K		
				9.0	8.0	425	275	229	28.2		27.4
	7.5	400	150	202	15.7	14.9	127	22.2	111	137.4	
	7.0	350	50	167	5.2	5.0	111	19.6	106	99.3	
	6.5	275	0	127	0.0	0.0	93	18.3	104	67.9	
	6.0	200	0	92	0.0	0.0	77	18.3	104	49.4	
616	8.0	7.0	370	150	188	15.7	14.9	121	22.2	111	129.9
		6.5	300	50	144	5.2	5.0	101	19.6	106	87.0
		6.0	225	0	104	0.0	0.0	83	18.3	104	55.6
		5.5	175	0	81	0.0	0.0	72	18.0	104	43.2
		5.0	125	0	58	0.0	0.0	62	18.0	104	30.9

617

618

619 **Table 4.** Simulated yields, yield gains and NPK uptake using alternative (AFR) and existing fertilizer recommendations (EFR) for the Bagré
 620 plain during the dry season (DS) and the wet season (WS). Target yields were simulated using FERRIZ_Y and average indigenous soil nutrient
 621 supply of the nutrient omission trial. Estimated total fertilizer costs were calculated using average prices in 2003-2004. Simulations were based
 622 on: indigenous supply of 34.6 kg N ha⁻¹, 17.6 kg P ha⁻¹ and 99.7 kg K ha⁻¹; fertilizer recovery rates of 0.45 kg kg⁻¹ for N, 0.25 kg kg⁻¹ for P, 0.45
 623 kg kg⁻¹ for K.
 624

Season *	Fertilizer recom.	Y _{pot} t ha ⁻¹	Urea dose	NPK dose	N dose	P dose	K dose	Yield estimate --t ha ⁻¹ --	NPK uptake -----kg ha ⁻¹ -----	Fertilizer costs 1000 FCFA ha ⁻¹	Yield gain (AFR-EFR) ----t ha ⁻¹ ----
DS	EFR	9.0	150	300	105	31.4	29.9	6.52	82/23.0/108	114.2	
WS	EFR	8.0	100	300	82	31.4	29.9	6.00	72/22.3/105	101.8	
DS	AFR	9.0	250	200	139	20.9	19.9	6.92	96/21.7/106	113.2	0.40
DS	*	9.0	200	200	116	20.9	19.9	6.56	86/21.3/105	100.8	0.04
WS	AFR	8.0	200	200	116	20.9	19.9	6.46	86/21.3/105	100.8	0.46

625 * AFR for wet season applied to dry season to save on fertilizer costs – as preferred by farmers in the validation trials
 626

Table 5. Effect of farmers' practice in terms of fertilizer use (FP), existing fertilizer recommendations (EFR) and alternative fertilizer recommendations (AFR) on paddy yield, total cost of fertilizer (TFC) and gross return above fertilizer cost (GRF) during the dry and wet seasons of 2003 and 2004 (DS03, DS04, WS03, WS04), Bagré, Burkina Faso. N/P/K fertilizer use for EFR in DS: 105/31/30 kg ha⁻¹, in WS: 82/31/30 kg ha⁻¹; for AFR regardless of season: 116/21/20 kg ha⁻¹. See text for prices of fertilizer and paddy per season. Yield and GRF data followed by a common letter are not significantly different (Newman-Keuls test, p = 0.05, tests per season).

Variable	Season	Treatment	Cases	Average	SD	Min	Max
Yield (t ha ⁻¹)	DS03	FP	7	4.03 a	1.93	1.10	6.50
		EFR	7	5.35 a	1.70	3.17	7.00
		AFR	7	5.77 a	1.58	3.50	7.67
	WS03	FP	13	5.92 a	1.05	4.04	7.17
		EFR	13	5.90 a	0.89	4.17	6.83
		AFR	13	6.50 a	0.96	4.50	7.29
	DS04	FP	17	6.33 a	1.27	4.17	8.67
		EFR	17	6.43 a	14.30	3.92	8.83
		AFR	17	7.48 b	1.15	5.00	9.50
	WS04	FP	12	4.53 a	0.94	2.92	4.53
		EFR	12	5.02 a	1.31	2.54	7.17
		AFR	12	6.01 b	0.95	4.17	7.46
N fertilizer (kg ha ⁻¹)	DS03	FP	7	78.7	13.6	64.0	104.0
	WS03	FP	13	80.2	17.4	58.0	110.0
	DS04	FP	17	83.6	17.0	58.0	116.0
	WS04	FP	12	72.2	12.7	58.0	93.0
P fertilizer (kg ha ⁻¹)	DS03	FP	7	14.2	4.0	10.5	21.0
	WS03	FP	13	17.5	5.5	10.5	31.4
	DS04	FP	17	16.3	3.6	10.5	21.0
	WS04	FP	12	16.2	3.5	10.5	21.0
K fertilizer (kg ha ⁻¹)	DS03	FP	7	13.5	3.8	10.0	19.9
	WS03	FP	13	16.7	5.2	10.0	29.9
	DS04	FP	17	15.5	3.5	10.0	19.9
	WS04	FP	12	15.4	3.3	10.0	19.9
TFC (1000FCFA ha ⁻¹)	DS03	FP	7	64.3	9.3	53.3	77.0
		EFR	7	106.7	0	106.7	106.7
		AFR	7	94.8	0	94.8	94.8
	WS03	FP	13	71.9	17.0	48.0	109.5
		EFR	13	98.0	0	98.0	98.0
		AFR	13	96.0	0	96.0	96.0
	DS04	FP	17	78.8	14.0	53.0	106.0
		EFR	17	120.0	0	120.0	120.0
		AFR	17	106.0	0	106.0	106.0
	WS04	FP	12	72.0	12.0	53.0	93.0
		EFR	12	107.0	0	107.0	107.0
		AFR	12	106.0	0	106.0	106.0
GRF (1000FCFA ha ⁻¹)	DS03	FP	7	346.6 a	188.6	58.9	592.0
		EFR	7	439.3 a	173.0	216.4	607.4
		AFR	7	493.9 a	161.6	262.2	687.2
	WS03	FP	13	532.3 a	103.6	334.0	646.5
		EFR	13	503.5 a	91.0	327.0	599.0
		AFR	13	566.7 a	97.6	363.0	648.0
	DS04	FP	17	471.8 a	104.7	283.5	661.0
		EFR	17	439.4 a	113.5	220.8	648.5
		AFR	17	544.5 b	99.9	329.0	720.5
	WS04	FP	12	431.2 a	103.1	243.8	576.0
		EFR	12	449.8 a	145.0	175.1	688.5
		AFR	12	561.5 b	105.4	356.5	721.9

